ST. ALOYSIUS COLLEGE (AUTONOMOUS), JABALPUR

Reaccredited 'A++ 'Grade by NAAC (CGPA:3.58/4.00)
College with Potential for Excellence by UGC
DST-FIST Supported & STAR College Scheme by DBT

Faculty of Management

Bachelor of Business Administration (B.B.A.)
Semester – V
Group - C
Subject- Merchant Banking and Financial Services
Paper- Core

Course Outcomes

CO. No.	Course Outcomes	Cognitive Level
CO 1	Acquire conceptual knowledge about the Management of customer's securities with special reference to India.	K
CO 2	Able to understand the Management of the portfolio.	U
CO 3	Learn about the complex nature the Management of projects and counselling as well as appraisal.	L
CO 4	Understand the Management of underwriting of shares and debentures.	U
CO 5	Learn about the circumvention of the syndication of loans.	L , App
CO 6	Sharpen information about Management of the interest and dividend etc.	K

Credit and Marking Scheme

	Credits	Marks		Total Marks
		Internal	External	
Theory	6	40	60	**100**

Evaluation Scheme

	Marks	
	Internal	**External**
Theory	Internal:40 Marks 3 Internal Exams of 20 Marks each (During the Semester) (Best 2 will be taken)	External: 60 marks (At the End of Semester)

Content of the Course

Theory

No. of Lectures (in hours per week): -3 hrs

Total No. of Lectures: - 60 **Maximum Marks: 100**

Units	Topics	No. of Lectures
I	Merchant banking: nature and scope of Merchant Banking, Regulation of Merchant Banking activity, Overview of current Indian Merchant Banking scene, Structure of Merchant Banking industry, Primary markets in India and abroad, Professional ethics and code of conduct, Current development. SME and IPO Industry.	10
II	Financial Services: Meaning and definition, Role of financial services in a financial system. Leasing: Meaning and features. Introduction to equipment leasing: Types of leases, Evolution of Indian leasing industry. Legal aspect of leasing: Present legislative framework. Hire purchase: Concept and characteristics of Hire purchase, Difference between hire purchase and leasing.	10
III	Factoring: Concept, nature and scope of Factoring, Forms of Factoring, Factoring vis-à-vis bills discounting, factoring vis-à-vis credit insurance, Factoring vis-à-vis forfeiting, Evaluation of a factor, Evaluation of a factoring, Factoring in India's current developments.	10
IV	Securitization /Mortgages: Meaning, nature and scope of securitization, Securitization as a funding mechanism, Securitization of real estate, whole loans, Mortgages, Graduated payment.	10
V	Depository: Meaning, evolution, Merits and demerits of Depository, Process of dematerialization, Brief description of NSDL and CDSL. Security Brokerage: Meaning of brokerages, types of brokers, Difference between broker and jobber, SEBI Regulations: relating to brokerage business in India.	10

REFERENCE

- Gurusamy S, 'Merchant Banking and Financial Services,' Vijay Nicole and Tata McGraw Hill, 4th Edition, 2012, New Delhi.
- Kahn M Y, 'Financial Services,' Tata McGraw Hill.
- Shri Ram, 'Handbook of Leasing and Hire Purchases,' ICFAI Hyderabad.

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Faculty of Management

Bachelor of Business Administration (B.B.A.)
Semester – V
Group - C
Subject- Security Analysis and Portfolio Management
Paper- DSE I

Course Outcomes

CO. No.	Course Outcomes	Cognitive Level
CO 1	Understand the fundamental concepts of derivative markets.	U
CO 2	Understand the concept of security and various kinds of investments.	U
CO 3	Develop understanding regarding Indian capital market, saving patterns, and Indian stock market.	K
CO 4	Understand the roles of various market intermediaries.	App
CO 5	Learn the concept of fundamental analysis and efficient market theory.	L

Credit and Marking Scheme

	Credits	Marks		Total Marks
		Internal	External	
Theory	4	40	60	**100**

Evaluation Scheme

	Marks	
	Internal	**External**
Theory	Internal:40 Marks 3 Internal Exams of 20 Marks each (During the Semester) (Best 2 will be taken)	External: 60 marks (At the End of Semester)

Content of the Course

Theory

No. of Lectures (in hours per week): -3 Hrs

Total No. of Lectures: - 40 **Maximum Marks: 100**

Units	Topics	No. of Lectures
I	Investment: concept, objectives and types, Investment and speculation, Factors of sound investment, financial markets: meaning and types, Investment opportunities in India and Investment in Global Market.	10
II	Stock exchange in India: BSE, NSE, OTC - functions and process, Interconnected stock exchange in India, Stock Indices and their Computation, SEBI: Their powers and functions. AI in Financial Markets (Introduction).	10
III	Fundamental analysis: Economic, Industry, Company and technical analysis, Dow theory, Elliot wave theory, Charting, Efficient market hypothesis.	10
IV	Emerging trends in Indian capital market: Depositories and script less trading, book building, Stock lending scheme, Rolling settlement, green shoe option, Derivatives Trading, Portfolio Analysis, Responsibilities and code of conduct for portfolio manager.	10

REFERENCE

- Chandra Prasanna, 'Investment Analysis and Portfolio Management' McGraw Hill, New Delhi, 2017, Fifth Edition
- Bhat Sudhindra, 'Investment Analysis and Portfolio Management,' Excel Books, New Delhi, 2011, Second Edition.
- Bhalla V.K., 'Investment Management: Security Analysis and Portfolio Management's. Chand Publishing, New Delhi, 2008, Nineteenth Edition.
- Dr. Singh Preeti, 'Investment Management.' Himalayan Publishing House, 2010
- Haugen Robert H. 'Modern Investment Theory 'Pearson Education, 2017, Fifth Edition.
- Agarwal O.P., 'Security Analysis and Investment Management, Himalayan Publishing House, 2011.

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Faculty of Management

Bachelor of Business Administration (B.B.A.)
Semester – VI
Group - C
Subject- Working Capital Management
Paper- Core

Course Outcomes

CO. No.	Course Outcomes	Cognitive Level
CO 1	Equip themselves with the conceptual knowledge of management of working capital.	K ,E
CO 2	Understand the importance of cash management	U
CO 3	Acquaint themselves with the concept of credit policy and credit management.	An
CO 4	Learn to apply sound techniques for managing inventory.	App
CO 5	Acquaint themselves with various tools of short - term financing, debt financing, and bank financing.	U

Credit and Marking Scheme

	Credits	Marks		Total Marks
		Internal	External	
Theory	6	40	60	**100**

Evaluation Scheme

	Marks	
	Internal	External
Theory	Internal:40 Marks 3 Internal Exams of 20 Marks each (During the Semester) (Best 2 will be taken)	External: 60 marks (At the End of Semester)

Content of the Course

Theory

No. of Lectures (in hours per week): -

Total No. of Lectures: - Maximum Marks: 100

Units	Topics	No. of Lectures
I	Working capital: Concept, definition, types, importance, needs and objectives, of working capital, Determinants of working capital, Excess working capital and inadequate working capital, Operating cycle - Concept.	10
II	Meaning, Definition and nature of cash, Motives for holding cash, Cash management, Meaning of cash flow, Determinants of optimum cash balance, Cash management models, Management of marketable securities.	10
III	Concept and definition of receivables, concept of maintain receivables, factors influencing the size of receivables, forecasting of receivables, Objectives of receivables management, Factoring and receivable management, types of factoring	10
IV	Meaning and nature of inventory, Purpose and benefit of holding inventory, Risk and cost of holding inventories, Objectives of inventory management, Tools and techniques of inventory management.	10
V	Financing of working capital, determining the working capital financing mix, New trends in financing of working capital by banks, Latest committees working capital - Kamnan committee, Marathe committee.	10

REFERENCE

- Kuchhal S.C. 'Financial Management, 'Chaitanya Publishing House, Allahabad, 1988.
- Khan M.Y. & Jain P.K. 'Financial Management,' Tata McGraw Hill Publishing Company Limited, New Delhi, 1984
  Pandey I.M., 'Financial Management, Vikas Publishing House, New Delhi, 2016, Eleventh Edition
- Besant., Raj C., 'Corporate Financial Management.' Tata McGraw Hill, New Delhi, 1995.

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Faculty of Management

Bachelor of Business Administration (B.B.A.)
VI Semester - Group - C
Subject- International Finance
Paper- DSE I

Course Outcomes

CO. No.	Course Outcomes	Cognitive Level
CO 1	Understand the most widely used international business terms and concepts.	U
CO 2	Identify the role and impact of political, economic, social and cultural variables in international business.	L
CO 3	Analyse international business from multi-centric perspective, avoiding ethnocentrism.	An

Credit and Marking Scheme

	Credits	Marks		Total Marks
		Internal	External	
Theory	4	40	60	**100**

Evaluation Scheme

	Marks	
	Internal	**External**
Theory	Internal:40 Marks 3 Internal Exams of 20 Marks each (During the Semester) (Best 2 will be taken)	External: 60 marks (At the End of Semester)

Content of the Course

Theory

No. of Lectures (in hours per week): -3Hrs

Total No. of Lectures: -50 **Maximum Marks: 100**

Units	Topics	No. of Lectures
I	Introduction: International trade, Its importance, Principles of international trade - Theory of comparative costing, Classical theory, Absolute advantage theory, Heckscher-Ohlin theory, Free trade V/s security barriers to foreign trade, Tariffs and non- tariffs barriers.	10
II	Structure and Development: Regulation in financial system, Role and need of regulations in financial system - RBI, SEBI and IRDA. Balance of Payment: Meaning of BOP, Components of BOP, Importance of BOP, Meaning of deficit and surplus, Balance disequilibrium and adjustment, methods of correcting disequilibrium, accounting principles in BOP.	10
III	Forex Market: Defining the forex market, it's structure, settlement system, understanding exchange rates, participants, spot and forward rates, forex quotations, premiums and discounts in the forward market, cross rates, inverse rates and arbitrages.	10
IV	Instruments: ADR, GDR, Euro currency, International commercial papers. International Financial Institutions: Introduction to IMF, Its importance, Functions, and significance.	10

REFERENCE

- Baker, HK & Riddick, LA (2013). International Finance: A Survey. Oxford: Oxford University Press.
- Krugman, P.R., Obstfeld, M.,&Melitz, M.J.(2017). International Finance: Theory And Policy, Global ed. (Volume Eleventh ed.). Boston: Pearson.
- Terra, c.(2015).International Finance And Principles Of The Open Economy. Macroeconomics: Principles, Applications And Policies. London: Academic Press.

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Faculty of Management

Bachelor of Business Administration (B.B.A.)
Semester – VI
Group - C
Subject- Indian Financial System
Paper- DSE II

Course Outcomes

CO. No.	Course Outcomes	Cognitive Level
CO 1	Acquire conceptual knowledge about the financial system with special reference to India.	U ,C
CO 2	Able to understand the various dimensions of the Indian financial system.	U
CO 3	Learn about the complex nature of the Indian financial system.	L
CO 4	Understanding the working of stock exchanges of India.	App
CO 5	Learn about the role of financial intermediaries in the development of capital markets.	L
CO 6	Sharpen information about RRBs and Insurance organization.	App

Credit and Marking Scheme

	Credits	Marks		Total Marks
		Internal	External	
Theory	4	40	60	**100**

Evaluation Scheme

	Marks	
	Internal	**External**
Theory	Internal:40 Marks 3 Internal Exams of 20 Marks each (During the Semester) (Best 2 will be taken)	External: 60 marks (At the End of Semester)

Content of the Course

Theory

No. of Lectures (in hours per week): -3hrs

Total No. of Lectures: -60 hrs **Maximum Marks: 100**

Units	Topics	No. of Lectures
I	Structure of Indian Financial System: An introduction of financial system, Meaning, Characteristics, Significance and Components, Evolution of financial system in India, Main functions of these constituents and respective roles, Money Markets: Functions, Players, and instruments in money market, Money market organization.	10
II	Structure and Development: Regulation in financial system, Role and need of regulations in financial system - RBI, SEBI and IRDA.	10
III	Reserve bank of India: Organization, Management, Role and functions, Credit control. Commercial Banks: Evolution, Management and organization, Setup, Assets and liabilities, Theories of liquidity management, Management of primary and secondary reserve, Management of loans.	10
IV	Development Banks in India: Types, functions, growth, structure and functioning of development banks, non-banking financial companies, Importance, Scope, Characteristics, Functions, Types, Regulation, MBFCs. Regional Rural Banks: Objectives, Features, RBI, Assistance, Evaluation, Major RRBs. Insurance Organization: Importance, Rationale, Types, Major players, Important regulations.	10

REFERENCE

- Machiraju, 'Indian Financial System. 'Vikas Publishing House, Second Edition, 2002
- Varshney P.N. & Mittal D.K.,'Indian Financial System, 'Sultan Chand & Sons, New Delhi, 2002.
- Indian Financial Systems: Financial Markets, Institutions and Services, Second Edition

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Faculty of Management

Bachelor of Business Administration (B.B.A.)
VI Semester - Group - C
Subject- Derivatives and Risk Management
Paper- DSE III

Course Outcomes

CO. No.	Course Outcomes	Cognitive Level
CO 1	To Understand the basics of derivatives Market in India.	U
CO 2	To understand the various aspects of treasury management.	K
CO 3	To Learn and understand the various concept of Risk.	L
CO 4	To understand the Tools and Techniques of Financial Risk.	U, K

Credit and Marking Scheme

	Credits	Marks		Total Marks
		Internal	External	
Theory	4	40	60	**100**

Evaluation Scheme

	Marks	
	Internal	**External**
Theory	Internal:40 Marks 3 Internal Exams of 20 Marks each (During the Semester) (Best 2 will be taken)	External: 60 marks (At the End of Semester)

Content of the Course

Theory

No. of Lectures (in hours per week): -

Total No. of Lectures: - Maximum Marks: 100

Units	Topics	No. of Lectures
I	Derivatives and types of derivatives - Financial derivatives - Commodity derivatives. Products, participants and functions. Exchange traded versus OTC derivatives. Instruments available for trading - Types of instruments (future, options), Hedging, Speculation, Arbitrage	-
II	Treasury Management: Scope, Function and Objectives of Treasury Management, Structure and Organization, Role and Responsibilities of Treasury Manager-Function of treasury Manager. Tools for Treasury Risk Management.	-
III	Concept of Risk, Types of Risk, Risk Management Process, Risk Management Strategies. Meaning of ERM, Source and Prerequisite for ERM, Advantages and Disadvantages of ERM. Credit Risk Management: Need, Securitization for credit risk, Tools for Credit risk	-
IV	Financial Risk Management: Financial Risk: An Overview, Evolution, and the Environment. Risks Definition and Source of financial risk, Need & Importance of Financial Risk Management, Tools for Financial Risk Management, Market Risk Management: Importance, Methods to handle & control Market risk	-

REFERENCE

1. Ross, D, International Treasury Management'3rd edition, Euromoney Publications, London, 1987.

2. Smithson, C.W., 'Managing Financial Risk: A Guide to Derivative Products' 3rd edition, McGraw- Hill, New York, 1998.

3. Risk Books, 'Financial Risk and the Corporate Treasury: New Developments in Strategy and Control' Latest Edition, Risks Books, 1997.

4. Principles of Risk Management& Insurance-GeorgeE. Rejda.

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